

ANNEX A- ENTERPRISE RISK & RESILIENCE POLICY STATEMENT

1. GardaWorld Federal Services (GWFS)¹ provides security services in circumstances where weakened governance creates complex, hostile and fragile environments. These are, by their very nature, fraught with uncertainty. Our customers rely on us to operate in a manner that enhances human safety and security and which protects assets (both tangible and intangible) while maintaining compliance with all applicable international, federal and local laws and regulations and contract requirements and safeguarding human rights. We conduct our operations – and thus achieve customers' objective s—by managing risks to all of our stakeholders including: our customers, persons working for us and on our behalf, affected communities and our supply chain. In the event that a disruptive or undesirable event occurs, we manage the full range of resulting impacts, minimizing adverse effects and restoring our services, rapidly and seamlessly. GWFS accomplishes this through a single, holistic, enterprise-wide risk and resilience management system which is compliant to international standards of best practice; **ISO22301** (Societal Security – Business Continuity Management Systems) and compliant with **ISO 31000** (Risk Management).

2. Risk and resilience management is not a standalone activity. It is achieved by the integration of legal, social, occupational, cultural, and environmental concerns into all aspects of our business process, our interactions with stakeholders and when developing appropriate pre-emptive measures to protect the human and physical assets entrusted to our care. Risk and resilience is the responsibility of every GWFS manager, member of staff and contractor; it is built into the very DNA of our management process and our approach to service delivery. We will minimize the likelihood and consequences of a disruptive or undesirable event by:

- a. **Prevention & Protection.** We identify, assess and treat risks before they occur. Based on a thorough analysis of likelihood and impacts, we protect our most vulnerable and business-critical assets while maintaining robust plans for emergency, incident & crisis response, business continuity and recovery.
- b. **Preparation.** We constantly monitor the full spectrum of risks to our organization and our customers, enabling us to escalate our level of readiness and invoke specific contingencies for managing risks and/or responding to crises and incidents. We maintain the highest levels of preparedness through an all-inclusive program of training, rehearsal, exercising and plan review based on lessons identified during that process.
- c. **Response and Recovery.** Following any incident or undesirable event, we will respond rapidly and proportionately to manage the impacts and restore stability. Our plans, designed around an all-hazards approach, are capable of meeting every challenge, regardless of scale or complexity. By invoking a comprehensive range of contingencies, we will restore all of our most urgent and critical services and subsequently recover our full range of business functions as rapidly as possible.

¹ GardaWorld Federal Services (GWFS) is a registered "doing-business-as name" of Aegis Defense Services, LLC (Aegis). Aegis is a cleared U.S. company and subsidiary of GardaWorld Security Corporation. Aegis operates pursuant to a Special Security Agreement (SSA) that is approved by the U.S. Government Defense Security Service (DSS). The SSA ensures that Aegis maintains Foreign Ownership, Control and Influence (FOCI) mitigation controls between Aegis and its foreign affiliates to protect U.S. classified information and unclassified export-controlled information and maintain Aegis's independence in performing classified contracts.

d. **Learning & Improvement.** We will prevent the recurrence of disruptive/undesirable events and enhance our levels of preparedness through a rigorous cycle of continual improvement, training and exercising at every level. We are committed to learning the lessons of our successes and failures as well as those of others. We will examine our performance and invite external auditors to critically assess our capabilities - all in order to remain at the leading edge of best practice.

By managing uncertainty effectively, we will create opportunity. Our clear and demonstrable commitment to responsibility and best practice is a key contributor to realizing our corporate mission and vision.

Approved By:



Pete Dordal,
President and Chief Executive Officer
Date: October 26, 2017